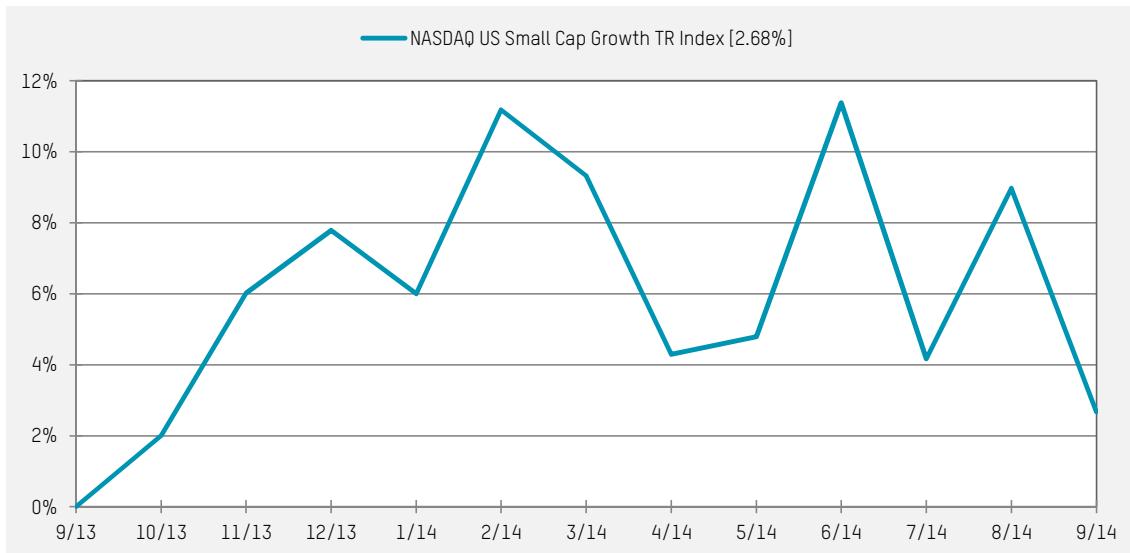


NASDAQ US Small Cap Growth Index

The Nasdaq US Small Cap Growth Index includes growth-oriented securities from the Small-Cap segment of the Nasdaq US Benchmark Index.

More information about the Index can be found at <https://indexes.nasdaqomx.com/Index/Overview/NQUSSG>

1-YEAR PERFORMANCE



QUICK FACTS

Securities: 964
Currency: USD
History: 03/30/2001

INDEX TICKERS

Price Return: NQUSSG
Total Return: NQUSSGT
Net Total Return: NQUSSGN

TOP 10 SECURITIES BY WEIGHT

TICKER	SECURITY	WEIGHT
VRNT	VERINT SYSTEMS INC	0.43%
WST	WEST PHARMA SVCS INC	0.42%
MTN	VAIL RESORTS INC	0.41%
CPHD	CEPHED INC	0.41%
DATA	TABLEAU SOFTWARE INC	0.40%
DYN	DYNEGY INC.	0.38%
BEE	STRATEGIC HOTELS INC	0.38%
STRZA	STARZ SERIES A	0.38%
CMP	COMPASS MINERALS INT	0.37%
CRL	CHARLES RIVER LAB	0.37%

INDUSTRY BREAKDOWN

INDUSTRY	WEIGHT	SECURITIES
Oil & Gas	5.50%	57
Basic Materials	3.47%	26
Industrials	13.02%	126
Consumer Goods	5.68%	55
Health Care	17.19%	230
Consumer Services	10.41%	95
Telecommunications	0.67%	9
Utilities	1.01%	8
Financials	26.84%	212
Technology	16.20%	146

PERFORMANCE (TR Version)

ANNUAL

1 Year	2.68%
2 Year	30.69%
3 Year	35.27%
4 Year	-2.99%
5 Year	17.03%
6 Year	-5.65%
7 Year	-20.31%
8 Year	16.96%
9 Year	7.79%
10 Year	22.14%

CUMULATIVE

1 Year	2.68%
2 Year	34.19%
3 Year	81.52%
4 Year	76.08%
5 Year	106.06%
6 Year	94.42%
7 Year	54.94%
8 Year	81.21%
9 Year	95.33%
10 Year	138.58%

ANNUALIZED

1 Year	2.68%
2 Year	15.84%
3 Year	21.98%
4 Year	15.19%
5 Year	15.56%
6 Year	11.72%
7 Year	6.45%
8 Year	7.71%
9 Year	7.72%
10 Year	9.08%

ANNUALIZED VOLATILITY (TR Version)

ANNUAL

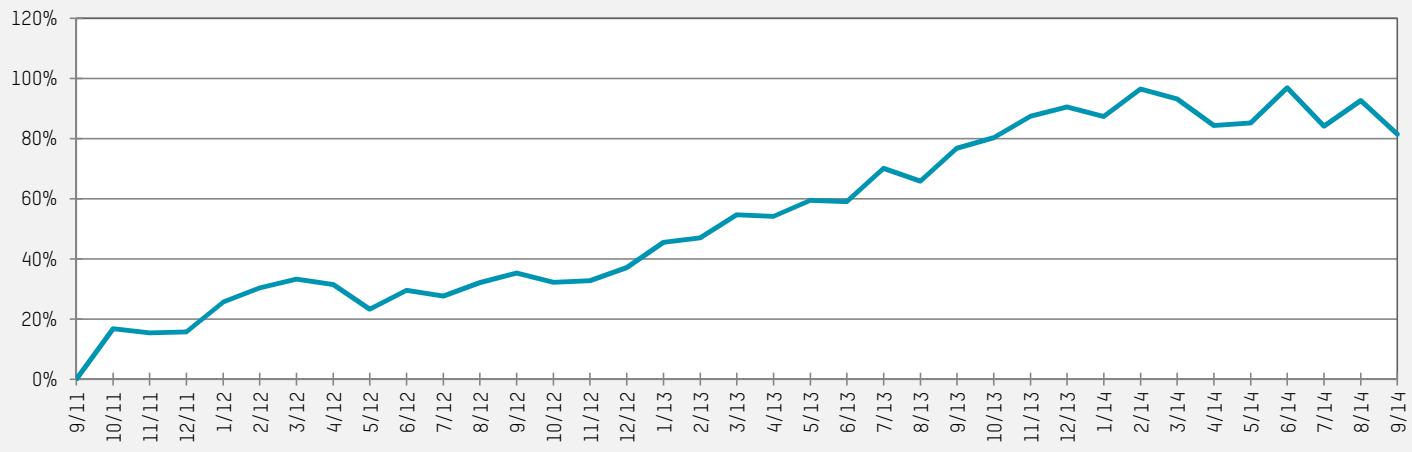
1 Year	16.19%
2 Year	14.83%
3 Year	25.36%
4 Year	27.56%
5 Year	24.99%
6 Year	47.96%
7 Year	26.28%
8 Year	16.09%
9 Year	17.61%
10 Year	14.31%

CUMULATIVE

1 Year	16.19%
2 Year	15.52%
3 Year	19.33%
4 Year	21.50%
5 Year	22.24%
6 Year	28.14%
7 Year	27.89%
8 Year	26.71%
9 Year	25.86%
10 Year	24.95%

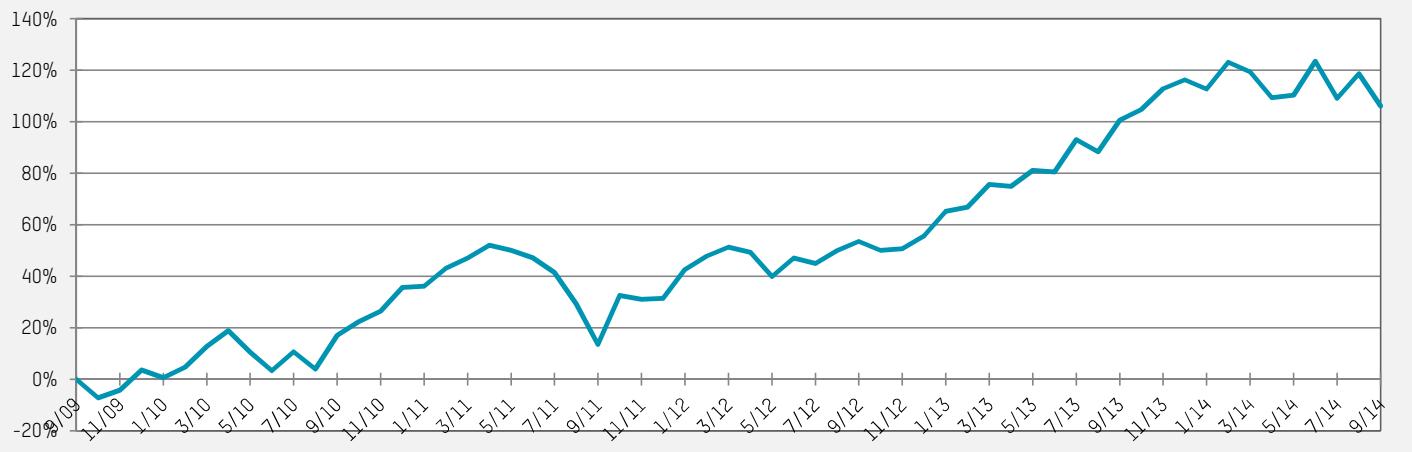
3-YEAR PERFORMANCE

NASDAQ US Small Cap Growth TR Index [81.52%]



5-YEAR PERFORMANCE

NASDAQ US Small Cap Growth TR Index [106.06%]



10-YEAR PERFORMANCE

NASDAQ US Small Cap Growth TR Index [138.58%]



MONTHLY PERFORMANCE (TR Version)

Sep 2014	-5.78%	May 2011	-1.28%	Jan 2008	-8.73%
Aug 2014	4.62%	Apr 2011	3.34%	Dec 2007	-0.36%
Jul 2014	-6.48%	Mar 2011	2.80%	Nov 2007	-7.47%
Jun 2014	6.29%	Feb 2011	5.13%	Oct 2007	4.02%
May 2014	0.48%	Jan 2011	0.36%	Sep 2007	2.82%
Apr 2014	-4.60%	Dec 2010	7.23%	Aug 2007	1.88%
Mar 2014	-1.67%	Nov 2010	3.43%	Jul 2007	-5.25%
Feb 2014	4.89%	Oct 2010	4.52%	Jun 2007	-0.80%
Jan 2014	-1.66%	Sep 2010	12.55%	May 2007	3.50%
Dec 2013	1.67%	Aug 2010	-6.07%	Apr 2007	3.03%
Nov 2013	3.94%	Jul 2010	7.08%	Mar 2007	1.20%
Oct 2013	2.00%	Jun 2010	-6.54%	Feb 2007	-0.78%
Sep 2013	6.57%	May 2010	-6.92%	Jan 2007	1.97%
Aug 2013	-2.48%	Apr 2010	5.36%	Dec 2006	-0.32%
Jul 2013	6.99%	Mar 2010	7.71%	Nov 2006	3.01%
Jun 2013	-0.33%	Feb 2010	4.02%	Oct 2006	5.96%
May 2013	3.51%	Jan 2010	-2.88%	Sep 2006	0.38%
Apr 2013	-0.38%	Dec 2009	8.17%	Aug 2006	2.63%
Mar 2013	5.23%	Nov 2009	3.25%	Jul 2006	-4.43%
Feb 2013	1.03%	Oct 2009	-7.20%	Jun 2006	-0.12%
Jan 2013	6.14%	Sep 2009	7.03%	May 2006	-6.30%
Dec 2012	3.27%	Aug 2009	2.23%	Apr 2006	0.43%
Nov 2012	0.43%	Jul 2009	7.19%	Mar 2006	4.74%
Oct 2012	-2.27%	Jun 2009	3.08%	Feb 2006	-0.39%
Sep 2012	2.42%	May 2009	3.74%	Jan 2006	9.60%
Aug 2012	3.46%	Apr 2009	15.17%	Dec 2005	-0.02%
Jul 2012	-1.46%	Mar 2009	8.23%	Nov 2005	5.51%
Jun 2012	5.09%	Feb 2009	-10.65%	Oct 2005	-3.44%
May 2012	-6.25%	Jan 2009	-8.32%	Sep 2005	1.55%
Apr 2012	-1.36%	Dec 2008	4.54%	Aug 2005	-1.41%
Mar 2012	2.31%	Nov 2008	-12.25%	Jul 2005	7.47%
Feb 2012	3.72%	Oct 2008	-19.69%	Jun 2005	4.17%
Jan 2012	8.51%	Sep 2008	-10.78%	May 2005	6.50%
Dec 2011	0.31%	Aug 2008	2.23%	Apr 2005	-5.36%
Nov 2011	-1.19%	Jul 2008	0.76%	Mar 2005	-4.20%
Oct 2011	16.78%	Jun 2008	-6.80%	Feb 2005	2.03%
Sep 2011	-12.26%	May 2008	6.09%	Jan 2005	-3.23%
Aug 2011	-8.54%	Apr 2008	4.65%	Dec 2004	3.34%
Jul 2011	-3.90%	Mar 2008	-1.74%	Nov 2004	8.56%
Jun 2011	-1.94%	Feb 2008	-2.57%	Oct 2004	1.90%

QUARTERLY PERFORMANCE (TR Version)

Q3 2014	-7.81%
Q2 2014	1.88%
Q1 2014	1.42%
Q4 2013	7.79%
Q3 2013	11.19%
Q2 2013	2.77%
Q1 2013	12.84%
Q4 2012	1.35%
Q3 2012	4.42%
Q2 2012	-2.81%
Q1 2012	15.15%
Q4 2011	15.76%
Q3 2011	-22.88%
Q2 2011	0.04%
Q1 2011	8.47%
Q4 2010	15.92%
Q3 2010	13.19%
Q2 2010	-8.33%
Q1 2010	8.81%
Q4 2009	3.65%
Q3 2009	17.29%
Q2 2009	23.15%
Q1 2009	-11.35%
Q4 2008	-26.32%
Q3 2008	-8.09%
Q2 2008	3.48%
Q1 2008	-12.62%
Q4 2007	-4.10%
Q3 2007	-0.75%
Q2 2007	5.79%
Q1 2007	2.39%
Q4 2006	8.80%
Q3 2006	-1.53%
Q2 2006	-6.01%
Q1 2006	14.35%
Q4 2005	1.86%
Q3 2005	7.59%
Q2 2005	5.00%
Q1 2005	-5.42%
Q4 2004	14.31%

All Information as of 09/30/2014.

Sources: NASDAQ OMX Global Indexes Research, Bloomberg, FactSet.

DISCLAIMER

NASDAQ® and NASDAQ OMX® are registered trademarks of The NASDAQ OMX Group, Inc. The information contained above is provided for informational and educational purposes only, and nothing contained herein should be construed as investment advice, either on behalf of a particular security or an overall investment strategy. Neither The NASDAQ OMX Group, Inc. nor any of its affiliates makes any recommendation to buy or sell any security or any representation about the financial condition of any company. Statements regarding NASDAQ-listed companies or NASDAQ proprietary indexes are not guarantees of future performance. Actual results may differ materially from those expressed or implied. Past performance is not indicative of future results. Investors should undertake their own due diligence and carefully evaluate companies before investing. **ADVICE FROM A SECURITIES PROFESSIONAL IS STRONGLY ADVISED.**

WWW.NASDAQOMX.COM/INDEXES |  @NASDAQIndexes

NASDAQ GLOBAL INDEXES

1.212.231.5836

GLOBALINDEXES@NASDAQOMX.COM

NASDAQ LICENSING

1.212.401.8987

NASDAQLICENSING@NASDAQOMX.COM

INDEX DATA SALES

1.212.231.1588

GISCUSTOMERSERVICE@NASDAQOMX.COM

CORPORATE HEADQUARTERS | ONE LIBERTY PLAZA 165 BROADWAY NEW YORK, NY 10006

WORLDWIDE OFFICES | ARMENIA • AUSTRALIA • BELGIUM • CANADA • CHINA • DENMARK • ESTONIA • FINLAND • FRANCE • GERMANY • HONG KONG S.A.R. CHINA • ICELAND • INDIA • ITALY • JAPAN • LATVIA • LITHUANIA • NORWAY • NETHERLANDS • PHILIPPINES • SINGAPORE • SPAIN • STOCKHOLM • UNITED ARAB EMIRATES • UNITED KINGDOM

NASDAQ OMX | Global Indexes

© COPYRIGHT 2014. THE NASDAQ OMX GROUP, INC. ALL RIGHTS RESERVED.