

# A CLOSER LOOK

## NASDAQ GLOBAL INDEX SEMI-ANNUAL EVALUATION

The second NASDAQ Global Index evaluation of 2013 occurred after the market close on Friday, September, 20.

NASDAQ Global Index (NQGI) evaluations are effective semi-annually after the market close on the third Friday of both March and September, using data through the end of January and July. Several index changes are incorporated at this time, including IPO additions, market capitalization and liquidity additions and deletions, share and float updates, and other methodological changes.

The second NASDAQ Global Index evaluation of 2013 occurred after the market close on Friday, September 20. The total number of securities in the global index decreased from 9,072 to 9,055; 420 securities were added and 437 securities were removed from the index. However, the total float adjusted market cap of the index increased to \$39.61 trillion from \$39.46 trillion.

Two-way turnover was 2.481%. Adds and deletes accounted for 60 basis points and 56 basis points of turnover. The remaining changes were for buys and sells at 64 basis points and 68 basis points, respectively.

### THE LARGEST CHANGES INCLUDE THE FOLLOWING:

ADDS	TICKER	COMPANY NAME	COUNTRY	REGION	NET WEIGHT CHANGE %
1	ZTS	Zoetis Inc.	United States	North America	0.041
2	EQTCS	Tata Consultancy DS	India	Asia Pacific	0.037
3	A035420	Naver	Korea	Asia Pacific	0.033

BUYS	TICKER	COMPANY NAME	COUNTRY	REGION	NET WEIGHT CHANGE %
1	MFON	MegaFon	Russia	Europe	0.045
2	DE	Deere Co	United States	North America	0.029
3	GRUPOAVAL	Grupo Aval	Colombia	Latin America	0.019

DELETES	TICKER	COMPANY NAME	COUNTRY	REGION	NET WEIGHT CHANGE %
1	LBTYA	Liberty Global ORD A	United States	North America	-0.040
2	GMODELOC	Grupo Modelo-C	Mexico	Latin America	-0.035
3	PUB	Publicis Groupe	France	Europe	-0.034

SELLS	TICKER	COMPANY NAME	COUNTRY	REGION	NET WEIGHT CHANGE %
1	AAPL	Apple Inc	United States	North America	-0.040
2	PFE	Pfizer Inc	United States	North America	-0.037
3	TPEIR	Piraeus Bank	Greece	Europe	-0.017

The developed markets segment increased from 6,582 to 6,638 while the emerging markets segment decreased from 2,490 to 2,417 securities.

### NQGI: INDEX WEIGHT %

SEGMENT	PRE-REBALANCE	POST-REBALANCE	NET CHANGE
DEVELOPED MARKETS	88.66	88.43	-0.22
EMERGING MARKETS	11.34	11.57	0.22

### NQGI: NO. SECURITIES

SEGMENT	PRE-REBALANCE	POST-REBALANCE	NET CHANGE
DEVELOPED MARKETS	6,582	6,638	56
EMERGING MARKETS	2,490	2,417	-73

The industry with the largest net change in securities was Basic Materials which experienced a net reduction of 72 securities from the index.

#### NQGI: INDEX WEIGHT %

ICB INDUSTRY	PRE-REBALANCE	POST-REBALANCE	NET CHANGE
OIL & GAS	9.04	9.02	-0.02
BASIC MATERIALS	6.02	6.03	0.00
INDUSTRIALS	13.69	13.74	0.05
CONSUMER GOODS	13.12	13.07	-0.05
HEALTH CARE	9.20	9.16	-0.03
CONSUMER SERVICES	10.72	10.58	-0.14
TELECOMMUNICATIONS	3.41	3.46	0.05
UTILITIES	3.27	3.24	-0.03
FINANCIALS	22.41	22.57	0.16
TECHNOLOGY	9.12	9.13	0.01

#### NQGI: NO. SECURITIES

ICB INDUSTRY	PRE-REBALANCE	POST-REBALANCE	NET CHANGE
OIL & GAS	492	496	4
BASIC MATERIALS	842	770	-72
INDUSTRIALS	1,884	1,885	1
CONSUMER GOODS	1,113	1,083	-30
HEALTH CARE	586	613	27
CONSUMER SERVICES	1,123	1,139	16
TELECOMMUNICATIONS	145	148	3
UTILITIES	289	286	-3
FINANCIALS	1,839	1,877	38
TECHNOLOGY	759	758	-1

Outside of the U.S., which had a net increase of 130 securities added to the index, 13 other countries had a net increase. Seven countries had the same net number of securities pre- and post-evaluation. The remaining 24 countries had a net decrease in securities, the largest coming from India at 49, putting the new total number of securities in that country to 203.

#### NQGI: INDEX WEIGHT %

NQGI COUNTRY	PRE-REBALANCE	POST-REBALANCE	NET CHANGE
US	47.52	47.17	-0.35
JP	8.36	8.37	0.01
GB	7.98	7.99	0.02
TW	1.38	1.38	0.00
KR	1.82	1.85	0.03
CA	3.84	3.81	-0.02
CN	1.93	1.96	0.04
AU	3.00	2.98	-0.01
IN	0.70	0.76	0.06
SG	0.74	0.77	0.03

#### NQGI: NO. SECURITIES

NQGI COUNTRY	PRE-REBALANCE	POST-REBALANCE	NET CHANGE
US	2,663	2,793	130
JP	1,257	1,258	1
GB	440	444	4
TW	394	396	2
KR	395	388	-7
CA	417	387	-30
CN	369	360	-9
AU	308	279	-29
IN	252	203	-49
SG	184	190	6

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